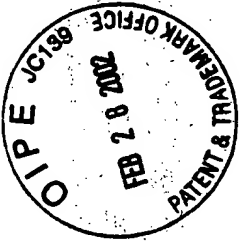


DATE, TIME  
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TRANSMISSION VERIFICATION REPORT

TIME : 02/22/2002 18:19  
NAME : WINSTON HSU 41526  
FAX : 886289237390  
TEL : 886289237350

**NORTH AMERICA  
INTERNATIONAL PATENT OFFICE**

5F, No.389, Fu-Ho Rd., Yunghe City, Taipei Hsien, Taiwan, R.O.C.

TEL: +886-2-8923-7350 FAX: +886-2-8923-7390 E-MAIL: [winstonhsu@naipo.com.tw](mailto:winstonhsu@naipo.com.tw)

FAX TO : Andy Huynh, ART UNIT : 2818, Tel : (703) 305-0089  
Fax : (703) 872-9318

FROM : WINSTON HSU , PATENT AGENT , REG. NO. : 41,526

SUBJECT : SERIAL NO. : 09/836,258  
RESPONSE TO THE OFFICE ACTION  
TOTAL PAGES : 8 PAGES (INCLUDING COVER PAGE)

The hardcopy of this response will be sent to USPTO by Federal Express  
right away.

WINSTON HSU 2002/02/22

Received from < 886289237390 > at 2/22/02 5:09:45 AM [Eastern Standard Time]

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PTO/SB/97 (06-00)  
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Sandy Huang (黃子珊)  
Signature  
Sandy Huang (黃子珊)  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

APPLICATION NUMBER : 09/836,258

PAPERS INCLUDED :

(1) Transmittal form	1 PAGE
(2) Fee transmittal	1 PAGE
(3) Response to the Office Action	4 PAGES

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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/836,258	
	Filing Date	04/18/2001	
	First Named Inventor	Yi-Fan Chen	
	Group Art Unit	2818	
	Examiner Name	Huynh, Andy	
Total Number of Pages in This Submission	6	Attorney Docket Number	NAUP0280USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

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FFB 22 2002

TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or individual name	WINSTON HSU
Signature	<i>Winston Hsu</i>
Date	2/22/2002

CERTIFICATE OF MAILING		
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Typed or printed name		
Signature		Date

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PTO/SB/17 (10-01)

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **0.00****Complete if Known**

Application Number	09/836,258
Filing Date	04/18/2001
First Named Inventor	Yi-Fan Chen
Examiner Name	Huynh, Andy
Group Art Unit	2818
Attorney Docket No.	NAUP0280USA

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0801**  
Deposit Account Name **North America International Patent Office**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$) **0.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) **0.00**

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description
105 130	205 65		Surcharge - late filing fee or oath
127 50	227 25		Surcharge - late provisional filing fee or cover sheet
139 130	139 130		Non-English specification
147 2,520	147 2,520		For filing a request for ex parte reexamination
112 920*	112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action
115 110	215 55		Extension for reply within first month
116 400	216 200		Extension for reply within second month
117 920	217 460		Extension for reply within third month
118 1,440	218 720		Extension for reply within fourth month
128 1,980	228 980		Extension for reply within fifth month
119 320	219 160		Notice of Appeal
120 320	220 160		Filing a brief in support of an appeal
121 280	221 140		Request for oral hearing
138 1,510	138 1,510		Petition to institute a public use proceeding
140 110	240 55		Petition to revive - unavoidable
141 1,280	241 640		Petition to revive - unintentional
142 1,280	242 640		Utility issue fee (or reissue)
143 460	243 230		Design issue fee
144 620	244 310		Plant issue fee
122 130	122 130		Petitions to the Commissioner
123 50	123 50		Processing fee under 37 CFR 1.17(q)
126 180	126 180		Submission of Information Disclosure Stmt
581 40	581 40		Recording each patent assignment per property (times number of properties)
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370		Request for Continued Examination (RCE)
169 900	169 900		Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **0.00****SUBMITTED BY**

Name (Print/Type)	WINSTON HSU	Registration No. (Attorney/Agent)	41,526	Telephone	886-2-8923-7350
Signature	<i>Winston Hsu</i>	Date	2/22/2002		

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2818

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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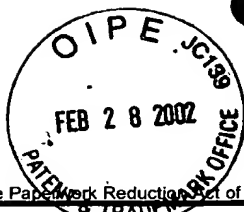
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/836,258	
	<b>Filing Date</b>	04/18/2001	
	<b>First Named Inventor</b>	Yi-Fan Chen	
	<b>Group Art Unit</b>	2818	
	<b>Examiner Name</b>	Huynh, Andy	
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	NAUP0280USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> Response to the office action has been sent to examiner by fax on Feb. 22, 2002.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WINSTON HSU
Signature	Winston Hsu
Date	2/22/2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>			
Typed or printed name			
Signature		Date	

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PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

Application Number 09/836,258  
Filing Date 04/18/2002  
First Named Inventor Yi-Fan Chen  
Examiner Name Huynh, Andy  
Group Art Unit 2818  
Attorney Docket No. NAUP0280USA

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50-0801  
Deposit Account Name North America International Patent Office
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
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141 1,280	241 640	Petition to revive - unintentional	
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179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

0.00

\*Reduced by Basic Filing Fee Paid

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Name (Print/Type)	WINSTON HSU	Registration No. (Attorney/Agent)	41,526	Telephone	886-2-8923-7350
Signature	<i>Winston Hsu</i>	Date	2/22/2002		

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